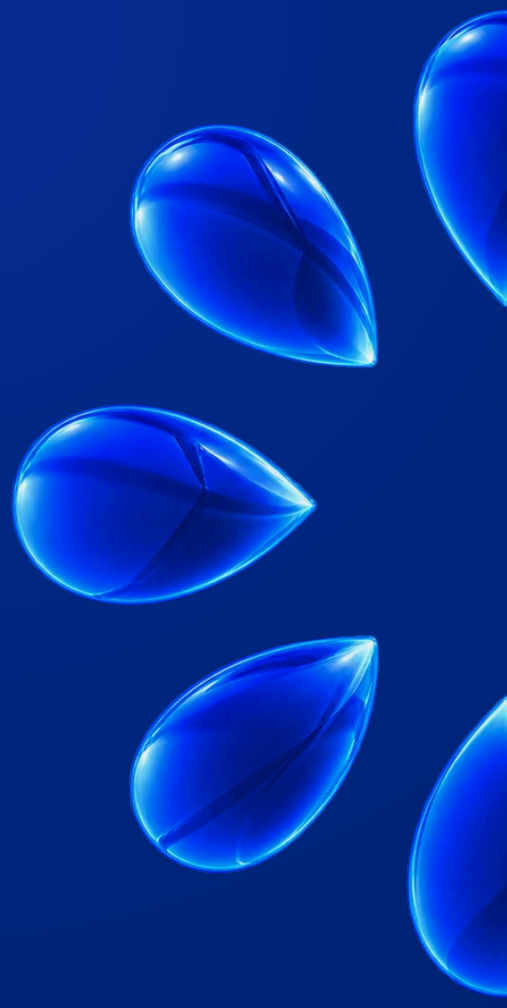
RWA

Regulatory Information

This page sets out our company and licence details, what our licence allows us to do, and how you can check them independently.

Issued by	Date	Version
Rounded Wealth Advisory Pty Ltd	01 September 2026	4.0



All investments involve risk, including fluctuations in market value, changes in investment income and the potential loss of some or all invested capital. Past performance is not a reliable indicator of future performance, and no investment outcome or return is guaranteed.

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Rounded Wealth Advisory is an Australian financial services licensee, regulated by the Australian Securities and Investments Commission (ASIC). This page sets out our company and licence details, what our licence allows us to do, and how you can check them independently.

> **Check our licence.** You can confirm we are licensed by searching for Australian Financial Services Licence 523251 on ASIC's Professional Register at www.asic.gov.au.

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Company details

Legal name	Rounded Wealth Advisory Pty Ltd
ABN	32 633 327 143
ACN	633 327 143
Company type	Australian Proprietary Company
Founded	08 May 2019
Managing Director	Mr Konstantinos Panagiotakopoulos
Legal Entity Identifier	254900CICNK1GL2KQ196
D-U-N-S Number	745448957
Website	www.roundedwealth.com.au

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Licence details

Licence	Australian Financial Services Licence (AFSL) No. 523251
Licensee	Rounded Wealth Advisory Pty Ltd
Issued by	Australian Securities and Investments Commission (ASIC)
Effective from	3 July 2020
Clients	Retail and wholesale clients
Dispute resolution	Australian Financial Complaints Authority (AFCA)
Member number	76566
Since	08 May 2020

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Our offices

3.1 Our registered office is also our principal place of business.

Registered office	L35 Tower One Barangaroo 100 Barangaroo Avenue Sydney NSW 2000
Melbourne	Level 27, 101 Collins Street Melbourne VIC 3000
Brisbane	Level 19, Gold Tower 10 Eagle Street Brisbane QLD 4000
Perth	Level 28, AMP Building 140 St Georges Terrace Perth WA 6000
Adelaide	Level 30, RAA Place 91 King William Street Adelaide SA 5000

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What our licence covers

4.1 Under AFSL No. 523251, Rounded Wealth Advisory is authorised to carry on a financial services business to, for both retail and wholesale clients:

- provide financial product advice; and
- deal in a financial product by applying for, acquiring, varying or disposing of a financial product on behalf of another person,

4.2 in respect of the following classes of financial products:

- deposit and payment products, limited to basic deposit products and deposit products other than basic deposit products;
- debentures, stocks or bonds issued or proposed to be issued by a government;
- life products, including investment life insurance products and life risk insurance products, as well as any products issued by a Registered Life Insurance Company that are backed by one or more of its statutory funds;
- interests in managed investment schemes, including investor directed portfolio services;
- retirement savings accounts (RSA) products (within the meaning of the Retirement Savings Accounts Act 1997 (Cth));
- securities;
- standard margin lending facilities; and
- superannuation.

4.3 Our licence is subject to conditions imposed by ASIC and the Corporations Act, including requirements to maintain compliance measures, ensure our Representatives who advise retail clients meet training and competency standards, and maintain membership of AFCA.

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What our licence does not cover

5.1 Our AFSL does not authorise us to, and Rounded Wealth Advisory does not:

- advise on or deal in derivatives, foreign exchange contracts, general insurance products (such as home, car or travel insurance), non-standard margin lending facilities, or any class of financial product not listed in clause 4.2;
- provide credit assistance or engage in credit activities under the National Consumer Credit Protection Act 2009 (Cth); or
- provide tax agent services.

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Documents to read

6.1 Before you use our services, please read our Financial Services Guide, which explains who we are, the services we offer, how we are paid and how to complain. Our Terms and Conditions, Privacy Policy, Cookie Policy, Important Information and Complaints Policy are also available on this website.

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Contact details

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General enquiries	info@roundedwealth.com.au
Complaints	complaints@roundedwealth.com.au
Privacy	privacy@roundedwealth.com.au
Report a scam	operations@roundedwealth.com.au
Website	www.roundedwealth.com.au
Mail	Rounded Wealth Advisory Pty Ltd L35 Tower One Barangaroo 100 Barangaroo Avenue Sydney NSW 2000

Questions about this document?

Call 02 8880 6200 or 1300 050 090, Monday to Friday, 9am to 5pm AEST, or email info@roundedwealth.com.au. The current version is always available at roundedwealth.com.au.